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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## REQUEST FOR FILING A PATENT APPLICATION UNDER 37 CFR 1.53(b)(1)

DOCKET NUMBER	ANTICIPATED CLASSIFICATION CLASS SUBCLASS	PRIOR EXAMINER	ART UNIT
M1596-242		Mack N. Hynes	2879

### EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EL 915059568 US in an envelope addressed to: Box: Patent Application, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202 on:

Date: Nov. 28, 2001

By : Margaret L. Goldstein

Signature: M. Goldstein

U.S. Patent and Trademark Office  
PO Box 2327  
Arlington, VA 22202

This is a request for filing a ☐ Continuation ☒ Divisional application under 37 CFR 1.53(b)(1), of pending prior application serial no. 09/242,227 filed on Feb. 11, 1999 entitled: **COMPACT FLUORESCENT LAMP, SELF-BALLASTED FLOURESCENT LAMP AND LUMINARE under 35 USC 371**

1. Enclosed is a copy of the latest inventor-signed prior application, including a copy of the oath or declaration showing the original signature or an indication it was signed. I hereby verify that the attached papers are a true copy of the latest signed prior application number 09/242,227, and further that all statements made herein of my own knowledge are true and that all statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

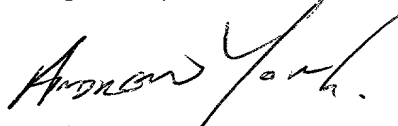
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
Total Claims	4 - 20 =		x \$18	\$
Indep. Claims	1 - 3 =		x \$84	\$
Multiple Dependent Claims			+ \$260 =	\$
			Basic Fee	\$740.
			Total	\$740.
Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28)				\$
TOTAL				\$740.00

2. ☒ Enclosed is our check No. 22709 in the amount of: \$740.00.
3. ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27  
☐ is attached.  
☐ was filed in prior application number \_\_\_\_\_ and such status is still proper and desired (37 CFR 1.28(a))
4. ☒ Cancel original claims 1-10, 13-25 of parent application 09/242,227 before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
5. ☒ The inventor(s) of the invention being claimed in this application is/are:  
☒ the same as in the parent application.
6. ☐ This application is being filed by less than those named in the prior application. In accordance with 37 CFR 1.60(b), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application:
7. ☒ Amend the specification by inserting before the first line the sentence:  
--This application is a division of pending U.S. Application Serial No. 09/242,227, filed February 11, 1999, now pending, under 35 USC 371
8. ☐ New formal drawings are enclosed.
9. ☒ The priority of International Application Serial No. PCT/JP98/02556, filed 06/11/98, is further claimed under 35 USC 120 and 365 and acknowledged as received with the filing of US application no. 09/242,227, dated February 11, 1999.
10. ☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next highest numbered original claim.)
11. ☒ The parent application is assigned of record to:  
**Toshiba Lighting & Technology Corp.**  
and was recorded on February 11, 1999 at Reel/Frame 9925/0709.
12. ☐ Also enclosed:  
☒ First Preliminary Amendment canceling claims 1-10 and 13-25  
☒ Second Preliminary Amendment adding new claims sequentially numbered

13. [X] a. [X] The power appears in the original papers in the prior application.  
b. [ ] Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.  
c. [ ] A new power has been executed and is attached.
14. [X] The Commissioner is hereby authorized to charge any fees which may be required or credit any overpayment to Deposit Account No. 13-4550.
15. [X] Address all future correspondence/communications to:

**MORRISON LAW FIRM, 145 North Fifth Ave., Mount Vernon, NY 10550**

Respectfully submitted,



Andrew F. Young, Esq.  
Registration No. 44,001  
Attorney for Applicant

Dated:

1/28/01

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Based on PTO/SB/13 (10/92)